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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

6

Complete if Known	
Application Number	09/940,450
Filing Date	August 29, 2001
First Named Inventor	Jeff S. Eder
Art Unit	3628
Examiner Name	Clement Graham
Attorney Docket Number	VM - 23

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CC	1	ZIPP, ALAN S.; Business valuation methods; 1993, AICPA	
CG	2	DAVIDOW, WILLIAM; Accounting systems are completely wrong, January 1995, Red Herring	
CG	3	MCTAGGERT, JAMES, KONTES, PETER AND MANKINS, MICHAEL, The value imperative, 1994, The Free Press	
CG	4	RAPPAPORT, ALFRED; Creating shareholder value; 1986, The Free Press	
CC	5	RITCHKEN, PETER, Options, Theory, Strategy and Applications, 1987, Foresman and Company	
CG	6	DIXIT, AVINASH & PINDYCK, ROBERT; Investment under uncertainty; 1994; Princeton University Press	
CG	7	GARSON, DAVID; Interpreting neural network connection weights, 4/1/1991, AI Expert	
CG	8	WELLSTEAD, STEPHEN; Neural network and fuzzy logic applications in C/C++; 1994; John Wiley & Sons	
CG	9	MOST, KENNETH; Accounting theory; 1977, Grid, Inc.	
CG	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin	

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Examiner Signature	C. GRAHAM	Date Considered	11/17/03
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Sheet

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Application Number	09/940,450
Filing Date	08/29/2001
First Named Inventor	Jeff S. Eder
Art Unit	3628
Examiner Name	Clement Graham
Attorney Docket Number	VM - 23

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CG	11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold	
CG	12	WARD SYSTEMS GROUP; NeuroWindows User Manual; 1993; Wards Systems Group	
CG	13	BREALEY, RICHARD & MYERS, STEWART; Principles of Corporate Finance; 1991; McGraw Hill	
CG	14	FAULKNER, TERENCE; Applying options thinking to R&D valuation; 5/1/1996; Research Technology Manage	
CG	15	MILLER, MERTON & MODIGLIANI, FRANCO, Dividend policy, growth and the valuation of shares, 10/1/1961,	
CG	16	SIMENSKY, MELVIN & BRYER, LANNING; The new role of intellectual property in commercial transactions,	
CG	17	WILSON, ALBERT, Emerging approaches to impaired property valuation, 4/1/1996, Appraisal Journal, v64	
CG	18	BROWN, GORDON T, Free cash flow appraisal, a better way, 4/1/1996, Appraisal Journal, V64, number 2,	
CG	19	BUSINESS EDITORS & REAL ESTATE INDUSTRY WRITERS, EQK Realty Investors I, 4/2/1992, Press Release	
CG	20	SWAD, RANDY, Business valuation, applicable standards for CPA's, 9/1/1995, CPA Journal v65, number 9	

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Examiner Signature	C. R. Graham	Date Considered	11/17/03	GROUP 3600
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 3 of 6 Attorney Docket Number VM - 23

Complete if Known

Application Number	09/940,450
Filing Date	8/29/2001
First Named Inventor	Jeff S. Eder
Art Unit	3628
Examiner Name	Clement Graham

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CG	21	REILLY, ROBERT; Valuation of intangibles for bankruptcy and reorganization purposes; 8/1/1994; Ohio	
CG	22	LIEBICH, KIM; How to value a bank; 8/1/1995; ABA Banking Journal	
CG	23	BAUMANN, BARBARA H & OXAAL, MARJORIE R; Estimating the value of a group medical practice, a primer;	
CG	24	MAXSON, MARK; Will you get your money's worth?, 5/1/1993, Financial Executive	
CG	25	FRIEDMAN, RICHARD; Business valuation: calculating it right; 10/1/1994; Practical Accountant	
CG	26	MULLEN, MAGGIE; How to value intangibles; 11/1/1993; Accountancy	
CG	27	STEWART, THOMAS; Trying to grasp the intangible; 10/2/1995 Fortune	
CG	28	OUROSOFF, ALEXANDRA; What the world's top brands are worth; 9/1/1992; Finance World	
CG	29	PHILLIPS BUSINESS INFORMATION, INC.; Five ways to reduce risk with neural networks; 9/27/1993; Credi	
CG	30	LIPPITT, JEFFREY & MASTRACCHIO, NICHOLAS, Developing capitalization rates for valuing a business, 11	

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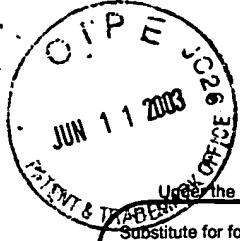
Examiner Signature	GRaham	Date Considered	11/17/03
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Filing Date	08/29/2001
First Named Inventor	Jeff S. Eder
Art Unit	3628
Examiner Name	Clement Graham
Attorney Docket Number	VM - 23

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CG	31	HIRSCH, A. ETHAN, What's it worth?, 12/21/1992, Accounting Today, v6, number 24, p 16	
CG	32	MYERS, STEWART & HOWE, CHRISTOPHER; A life-cycle financial model of Pharmaceutical R&D; 4/1/1997;MIT	
CG	33	SIMON, CAROL J. & SULLIVAN, MARY W.; The Measurement and Determinants of Brand Equity; 10/1/1993; Ma	
CG	34	Sveiby, Karl Erik & Mellander, Klas; Tango Learning Guide Version 2.1; 1994; Celemi	
CG	35	KAUFMAN, J. JERRY; Value Management; 1998; Crisp	
CG	36	HBS PRESS; Measuring Corporate Performance; 1998; HBS Press	
CG	37	KAPLAN, ROBERT & NORTON, DAVID; The Balanced Scorecard; 1996; HBS Press	
CG	38	MORRIS, HENRY; Extending the Business Process, 10/6/98, IDC Presentation	
CG	39	AMIR, ELI; & LEV, BARUCH, "Value-relevance of non-financial information", Journal of Accounting and	RECEIVED
CG	40	ERNST & YOUNG, "Measures that Matter", 1997	JUN 13 2003

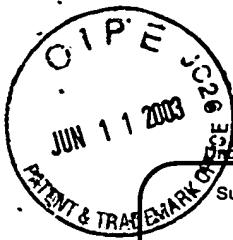
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Examiner Signature	Date Considered
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Sheet 5 of 6

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Application Number	09/940,450
Filing Date	08/29/2001
First Named Inventor	Jeff S. Eder
Art Unit	3628
Examiner Name	Clement Graham
Attorney Docket Number	VM - 23

U. S. PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
CG	41	US- 5,224,034	06-29-1993	Katz, Paul	
CC	42	US- 5,237,946	08-17-1993	Kagami, Akari	
CG	43	US- 5,128,861	07-07-1992	Kagami, Akari	
CG	44	US- 5,237,495	08-17-1993	Morii, Hideki	
CG	45	US- 3,749,892	07-31-1973	Stenning, Patrick	
CG	46	US- 5,361,201	11-01-1994	Jost, Allen et al	
CG	47	US- 3,933,305	01-20-1976	Murphy, John Michael	
CG	48	US- 4,989,141	01-29-1991	Lyons, Richard	
CC	49	US- 5,317,504	05-31-1994	Nakayama, Mamoru	
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CG	59	US- 6,134,536	10-17-2000	Shepherd, Ian	

FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)			
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				JUN 13 2003	
				GROUP 3600	
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Examiner Signature	GRANHAM	Date Considered	11/17/03
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Sheet 6

of 6

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Application Number	09/940,450
Filing Date	08/29/2001
First Named Inventor	Jeff S. Eder
Art Unit	3628
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Attorney Docket Number	VM-23

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Examiner
Signature

GRAHAM

Date Considered

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